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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Birkholz et al.

Serial No.: 09/912,975

Confirmation No.: 6558

Filed: July 25, 2001

For: Method and Apparatus for
Automating Software
Upgrades

§§§§§ Group Art Unit: 2161

Examiner: Unknown

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Technology Center 2100

Assistant Commissioner for Patents
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Nov. 01, 2001
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Gero G. McClellan

INFORMATION DISCLOSURE STATEMENT

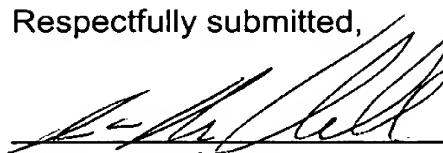
The Applicants, and the Attorney who signs below on the basis of the information supplied by the inventor and the information in his file, submit herewith patents, publications, or other information of which they are aware, which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While the information submitted in this Information Disclosure Statement may be material pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication, or other information referred to therein is prior art for this invention unless specifically designated as such.

In accordance with 37 CFR § 1.97, this Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other possibly material information as defined under 37 CFR § 1.56(a) exists.

The patents and/or publications submitted herewith are set forth on the attached Form PTO-1449.

Respectfully submitted,



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| U.S. Department of Commerce, Patent and Trademark Office (PTO Form 1449 modified) | | | | Docket No. ROC920010108US1 | Serial No. 09/912,975 | | | |
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| LIST OF PATENTS AND PUBLICATIONS CITED BY APPLICANT | | | | Applicant Birkholz et al. | Confirmation No.: 6558 | | | |
| (Use several sheets if necessary) | | | | Filing Date July 25, 2001 | Group 2161 | | | |
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| *Examiner Initial | | Document Number | Date | Country | Class | Subclass | Translation | |
| | | | | | | | YES | NO |
| | B1 | | | | | | <input type="checkbox"/> | <input type="checkbox"/> |
| | B2 | | | | | | <input type="checkbox"/> | <input type="checkbox"/> |
| | B3 | | | | | | <input type="checkbox"/> | <input type="checkbox"/> |
| | B4 | | | | | | <input type="checkbox"/> | <input type="checkbox"/> |
| | B5 | | | | | | <input type="checkbox"/> | <input type="checkbox"/> |
| OTHER ART | | | | | | | | |
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| | C1 | U.S. Pat Appl Serial No. 09/865,371, filed May 25, 2001 | | | | | | |
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| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant. | | | | | | | | |